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Substitute f	Substitute for form 1449/PTO			Complete	if Known
			Application Number	10/676,850	
INFOR	MAT	ION E	ISCLOSURE	Filing Date	September 30, 2003
STATE	STATEMENT BY APPLICANT (Use as many sheets as necessary)			First Named Inventor	Nicholas M. Ryan
				Art Unit	2135
(coo as many sheets as necessary)		Examiner Name	Klimach, Paula W.		
Sheet	1	of	16	Attorney Docket Number	2222.5440000

			U.S. PATENT DO	OCUMENTS	
Examiner Cite Initials No.1		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,
Initials	No.'	Number-Kind Code ^{2 (ITKnown)}	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear
	USI	4,203,166	05-13-1980	Eshram et al.	
	US2	4,734,568	03-29-1988	Watanabe	
	US3	4,757,533	07-12-1988	Allen et al.	
	US4	4,796,220	01-01-1989	Wolfe	
	US5	4,799,258	01-17-1989	Davies	
	US6	4,827,508	05-02-1989	Shear	1
	US7	4,888,800	12-19-1989	Marshall et al.	1
	US8	4,972,472	11-20-1990	Brown et al.	
	US9	5,032,979	07-16-1991	Hecht et al.	
	US10	5,052,040	09-24-1991	Preston et al.	
	US11	5,058,164	10-15-1991	Elmer et al.	
	US12	5,144,660	09-01-1992	Rose	
	US13	5,204,897	04-20-1993	Wyman	
	US14	5,220,657	06-15-1993	Bly et al.	
	US15	5,247,575	09-21-1993	Sprague et al.	
	US16	5,276,735	01-04-1994	Boebert et al.	
	US17	5,301,247	04-05-1994	Rasmussen et al.	
	US18	5,319,705	06-07-1994	Halter et al.	
	US19	5,369,702	11-29-1994	Shanton	
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		Fo	REIGN PATENT DO	CUMENTS		
Examiner Cite	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where		
		Country Code ³ Number ⁶ Kind Code ⁵ (if known)			Relevant Passages or Relevant Figures Appear	T ⁶
	FP1	EP 0 674 253 A1	09-27-1995	Kabushiki Kaisha Toshiba		
	FP2	EP 0 809 170 A1	11-26-1997	NCR International Inc.		
	FP3	EP 0 913 966 A2	05-06-1999	Sun Microsystems, Inc.		
	FP4	EP 0 950 941 A2	10-20-1999	Fujitsu Limited		П
	FP5	EP 0 950 941 A3	10-20-1999	Fujitsu Limited		
	FP6	EP 1 130 492 A2	09-05-2001	Sony Corporation		
	FP7	EP 1 154 348 A2	11-14-2001	Matsushita Electronics Corp.		Г
	FP8	EP 1 107504 A2	06-13-2001	Benayoun et al.		П
	FP9	EP 0 672 991 A2	09-20-1995	Narasimhalu et al.		Т

Examiner Signature	/Yogesh Paliwal/	Date Considered	04/03/2008

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For Japanese partie documents, the indication of the year of the region of the Emperor must precede the setficial number of the partie number. document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible * Applicant is to place a check mark here if English language Translation is attached.
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(000 = 1100)				Examiner Name	Klimach, Paula W.		
Sheet	2	of	16		Attorney Docket Number	2222.5440000	

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imuais No.	Number-Kind Code ^{2 (IF Known)}	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appea	
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	US22	5,406,628	04-11-1995	Beller et al.	
	US23	5,414,852	05-09-1995	Kramer et al.	
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	FP10	GB 2 328 047 A	02-10-1999	Microsoft Corporation		
	FP11	JP 2001-036517	02-09-2001	Lucent Technol, Inc.		
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	FP17	WO 01/84271 A2	11-08-2001	Certia, Inc.		
	FP18					ΙΤ

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			Application Number	10/676,850	
INFORMATION DISCLOSURE				Filing Date	September 30, 2003
STATI	STATEMENT BY APPLICANT			First Named Inventor	Nicholas M. Ryan
	(Use as	many she	ets as necessary)	Art Unit	2135
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Sheet	3	of	16	Attorney Docket Number	2222.5440000

			U.S. PATENT DO		
Examiner Cite Initials* No.1	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	Number-Kind Code ^{2 (If Known)}	MM-DD-YYYY	Applicant of Cited Document		
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	US42	5,729,734	03-17-1998	Parker et al.	
	US43	5,745,573	04-28-1998	Lipner et al.	
	US44	5,748,736	05-05-1998	Mittra	
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	US53	5,821,933	10-13-1998	Keller et al.	
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			FOREIGN PATENT DO	CUMENTS		
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		ets as necessary)	Art Unit	2135	
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Sheet	4 of	16	Attorney Docket Number	2222.5440000	

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	US61	5,892,900	04-06-1999	Ginter et al.	
	US62	5,893,084	04-06-1999	Morgan et al.	
	US63	5,898,781	04-27-1999	Shanton	
	US64	5,922,073	07-13-1999	Shimada	
	US65	5,933,498	08-03-1999	Schnek et al.	
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	US69	5,968,177	10-19-1999	Batten-Carew et al.	
	US70	5,987,440	11-16-1999	O'Neil et al.	
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Examiner Initials*	Cite No.1	Foreign Patent Document Country Code* Number* Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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Substitute for form 1449/PTO	Complete	if Known
	Application Number	10/676,850
INFORMATION DISCLOSUR	E Filing Date	September 30, 2003
STATEMENT BY APPLICAN	First Named Inventor	Nicholas M. Ryan
(Use as many sheets as necessary)	Art Unit	2135
,,	Examiner Name	Klimach, Paula W.
Sheet 7 of 16	Attorney Docket Number	2222.5440000

	U.S. PATENT DOCUMENTS						
Examiner Cite Initials No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages			
initials	Number-Kind Code ^{2 (0 Known)} WIMI-DD-11111 Applicant of Cited Document	Applicant of Ched Document	or Relevant Figures Appear				
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Examiner Initials*	Cite No.	Foreign Patent Document Country Code* Number* Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
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Substitute for form 1449/PTO			0	Complete	if Known
				Application Number	10/676,850
INFOR	MAT	ION	DISCLOSURE	Filing Date	September 30, 2003
STATE	MEN	IT BY	APPLICANT	First Named Inventor	Nicholas M. Ryan
	(Use as	many she	eets as necessary)	Art Unit	2135
				Examiner Name	Klimach, Paula W.
Sheet	8	of	16	Attorney Docket Number	2222.5440000

			U.S. PATENT DO	CUMENTS		
Examiner Initials	Cite No."	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines,	
mitials	140.	Number-Kind Code ^{2 (If Known)}		Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
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Examiner Initials*	Cite No.	Foreign Patent Document Country Code* Number* Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T*	
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Substitute t	or form	1449/PT)	Complete if Known		
				Application Number	10/676,850	
INFOR	MAT	ION D	DISCLOSURE	Filing Date	September 30, 2003	
STATE	STATEMENT BY APPLICANT (Use as many sheets as necessary)			First Named Inventor	Nicholas M. Ryan	
				Art Unit	2135	
		•		Examiner Name	Klimach, Paula W.	
Sheet	9	of	16	Attorney Docket Number	2222.5440000	

			U.S. PATENT DO		
Examiner Cite		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,
Initials	Initials No.	Number-Kind Code ^{2 (IFKarrow)}	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appea
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Examiner Initials*	Cite No.1	Foreign Patent Document Country Code' Number' Kind Code's (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures	
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Substitute	Substitute for form 1449/PTO			Complete if Known		
				Application Number	10/676,850	
INFORMATION DISCLOSURE			DISCLOSURE	Filing Date	September 30, 2003	
STAT	STATEMENT BY APPLICANT (Use as many sheets as necessary)			First Named Inventor	Nicholas M. Ryan	
				Art Unit	2135	
		-	-	Examiner Name	Klimach, Paula W.	
Sheet	10	of	16	Attorney Docket Number	2222.5440000	

			U.S. PATENT DO	CUMENTS	
Examiner Cite		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines,
Initials No.	No.'	Number-Kind Code ^{3 (If Known)}	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear
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Examiner Initials*	Cite No.	Foreign Patent Document Country Code* Number* Kind Code* (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Substitute for form 1449/PTO

Sheet

(Use as many sheets as necessary)

16

Complete if Known			
Application Number	10/676,850		
Filing Date	September 30, 2003		
First Named Inventor	Nicholas M. Ryan		
Art Unit	2135		
Examiner Name	Klimach, Paula W.		
Attorney Docket Number	2222.5440000		

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initials	ittals No." Number-Kind Cod		MM-DD-1111	Applicant of Cited Document	or Relevant Figures Appea
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			FOREIGN PATENT DO	CUMENTS		
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•			ets as necessary)	Art Unit	2135	
		•	•	Examiner Name	Klimach, Paula W.	
Sheet	12	of	16	Attorney Docket Number	2222.5440000	

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STATE	ME	NT BY	APPLICANT	First Named Inventor	Nicholas M. Ryan	
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Sheet	13	of	16	Attorney Docket Number	2222.5440000	

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First Named Inventor Nicholas M. Ryan

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Initials*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
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Examiner Signature	/Yogesh Paliwal/	Date Considered	04/03/2008

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				Application Number	10/676,850	
INFORM	IATION I	DISC	LOSURE	Filing Date	September 30, 2003	
STATE	MENT BY	(API	PLICANT	First Named Inventor	Nicholas M. Ryan	
	(Use as many sheets as necessary)			Art Unit	2135	
				Examiner Name	Klimach, Paula W.	
Sheet	3	of	6	Attorney Docket Number	2222.5440000	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ²
	NPL21	A Real-Time Push-Pull Communications Model for Distributed Real-Time and Multimedia Systems, January 1999, School of Computer Sciences Carnegie Mellon University, Kanaka Juvva, Raj Rajkumar.	
	NPL22	U.S. patent application, Ser No. 10/859,685, entitled "Method and Apparatus for Controlling the Speed Ranges of a Machine" inventor Thomas, July 13, 2004, 18 pgs.	
	NPL23	U.S. patent application, Ser No. 10/028,397, entitled "Method and system for restricting use of a clipboard application," inventor Zuili, December 21, 2001, 38 pgs.	
	NPL24	U.S. patent application, Ser No. 10/368,277, entitled "Method and apparatus for uniquely identifying files, " inventor Ouye, February 18, 2003, 25 pgs.	
	NPL25	U.S. patent application, Ser No. 10/327,320, entitled "Security system with staging capabilities " inventor Vainstein, December 20, 2002, 39 pgs.	
	NPL26	U.S. patent application, Ser No. 10/286,524, entitled "Security system that uses indirect password-based encryption," inventor Gutnik, November 1, 2002, 38 pgs.	
	NPL27	U.S. patent application, Ser No. 10/242,185, entitled "Method and system for protecting encrypted files transmitted over a network " inventor Ryan, September 11, 2002, 33 pgs.	
	NPL28	U.S. patent application, Ser No. 10/642,041, entitled "Method and system for fault-tolerant transfer of files across a network "inventor Kenrich, August 15, 2003, 32 pgs.	
	NPL29	U.S. patent application, Ser No. 10/610,832, entitled "Method and system for enabling users of a group shared across multiple file security systems to access secured files " inventor Ryan, June 30, 2003, 33 pgs.	
	NPL30	U.S. patent application, Ser No. 10/448,806, entitled "Method and System for Using Remote Headers to Secure Electronic Files" inventor Ryan, May 30, 2003, 35 pgs.	

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	NPL31	U.S. patent application, Ser No. 10/074,194, entitled "Methods for identifying compounds that inhibit or reduce PTP1B expressions" inventor Rondinone, February 12, 2002, 69 pgs.	
	NPL32	U.S. patent application, Ser No. 10/074,804, entitled "Secured Data Format for Access Control," inventor Garcia, February 12, 2002, 108 pgs.	
	NPL33	U.S. patent application, Ser No. 10/075,194, entitled "System and Method for Providing Multi- location Access Management to Secured Items," inventor Vainstein et al., February 12, 2002, 110 pgs.	
	NPL34	U.S. patent application, Ser No. 10/074,996, entitled "Method and Apparatus for Securing Electronic Data," inventor Lee et al., February 12, 2002, 111 pgs.	
	NPL35	U.S. patent application, Ser No. 10/074,825, entitled "Method and Apparatus for Accessing Secured Electronic Data Off-line," inventor Lee et al., February 12, 2002, 108 pgs.	
	NPL36	U.S. patent application, Ser No. 10/105,532, entitled "System and Method for Providing Different Levels of Key Security for Controlling Access to Secured Items," inventor Hildebrand et al., March 20, 2002, 80 pgs.	
	NPL37	U.S. patent application, Ser No. 10/186,203, entitled "Method and System for Implementing Changes to Security Policies in a Distributed Security System," inventor Huang, June 26, 2002, 65 pgs.	
	NPL38	U.S. patent application, Ser No. 10/201,756, entitled "Managing Secured Files in Designated Locations," inventor Alain, July 22, 2002, 121 pgs.	
	NPL39	U.S. patent application, Ser No. 10/206,737, entitled "Method and System for Updating Keys in a Distributed Security System," inventor Hildebrand, July 26, 2002, 60 pgs.	
	NPL40	U.S. patent application, Ser No. 10/246,079, entitled "Security System for Generating Keys from Access rules in a Decentralized Manner and Methods Therefor," inventor Hildebrand, September 17, 2002, 78 pgs	

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				Application Number	10/676,850	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			LOSURE	Filing Date	September 30, 2003	
			PLICANT	First Named Inventor	Nicholas M. Ryan	
				Art Unit	2135	
				Examiner Name	Klimach, Paula W.	
Sheet	5	of	6	Attorney Docket Number	2222.5440000	

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	NPL41	U.S. patent application, Ser No. 10/259,075, entitled "Effectuating Access Policy Changes to Designated Places for Secured Files," inventor Crocker, September 27, 2002, 60 pgs.	
	NPL42	U.S. patent application, Ser No. 10/286,575, entitled "Method and Architecture for Providing Access to Secured Data from Non-Secured Clients," inventor Vainstein, November 1, 2002, 46 pgs.	
	NPL43	U.S. patent application, Ser No. 10/295,363, entitled "Security System Using Indirect Key Generation from Access Rules and Methods Therefor," inventor Vainstein, November 15, 2002, 70 pgs.	
	NPL44	U.S. patent application, Ser No. 11/889,310, entitled "Methods and Systems for Providing Access Control to Electronic Data," inventor Rossmann, August 10, 2007, 90 pgs.	
	NPL45	U.S. patent application, Ser No. 11/797,367, entitled "Method and System for Managing Security Tiers," inventor Vainstein, May 2, 2007, 11 pgs.	
	NPL46	Adobe Acrobat 5.0 Classroom in a Book, Adobe Press, 26 June 2001, pp.1-4.	
	NPL47	Adobe Acrobat Security Settings, Acrobat 7.0, 15 Nov 2004, pp.1-4.	
	NPL48	"Security Options". December 20, 2001. DC & Co. pages 1-2	
	NPL49	Microsoft Press Computer Dictionary, 1997, Microsoft Press, Third Edition, page 426.	
	NPL50	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8530, 2 pages.	

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Initials* No.1		the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number publisher, city and/or country where published		
	NPL51	Search Report, completion date October 2, 2003, for European Patent Application No. EP 02 25 8531, 2 pages.		
	NPL52	Search Report, completion date April 14, 2005, for European Patent Application No. EP 02 25 8533, 2 pages.		
	NPL53	Search Report, completion date March 16, 2005, for European Patent Application No. EP 02 25 8534, 2 pages.		
	NPL54	Search Report, completion date March 2, 2005, for European Patent Application No. EP 02 25 8535, 2 pages.		
	NPL55	Search Report, completion date March 3, 2005, for European Patent Application No. EP 02 25 8537, 2 pages.		
	NPL56	Search Report, completion date May 12, 2005, for European Patent Application No. EP 02 25 8539, 2 pages.		
	NPL57	Search Report, completion date July 6, 2005, for European Patent Application No. EP 02 25 8529, 4 pages.		
	NPL58	Search Report, completion date October 8, 2003, for European Patent Application No. EP 02 25 8536, 2 pages.		
	NPL59	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8540, 2 pages.		
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